

# Intelligent and Adaptable Software Systems

## Advanced Algorithms: Graph Theory

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12/13



# Course organization

course notes

- **Homepage:**

<http://www.ei.uvigo.es/~formella/doc/ssia12>

- almost everything will be accessible on our moodle-platform:

<http://postgrado.ei.uvigo.es/tadsi-online/login/index.php>

- whiteboard illustrations (notations, ideas for proofs, algorithms)
- very short introduction to some specific aspects of graph theory and their applications



# Course organization

class room hours (preliminary)

Graph Theory, Wednesdays, 16:00–18:00

26.09. (Arno)	03.10. (Arno)	10.10. (Arno)	17.10. (Arno)	24.10. (Arno)
31.10. (Arno)	07.11. (Arno)	14.11. (Marta)	21.11. (Marta)	28.11. (Marta)
05.12. (Marta)	12.12. (Marta)	19.12. (Marta)	09.01. (Marta)	16.01. (??)
23.01. eval	30.01. eval			



# Course organization

office hours

- Dra. Marta Pérez Rodríguez

office hours:

<http://www.esei.uvigo.es/index.php?id=390>

- Dr. Arno Formella

office hours: tuesdays, 9:30-13:30 and 17-19



## Bibliography

books

- Reinhard Diestel. *Graph Theory*. 3rd edition, Springer Verlag, 2005. ISBN 3-540-26183-4.  
Existe una versión electrónica entre-enlazada (no imprimible):  
<http://diestel-graph-theory.com/index.html>
- Thomas H. Cormen, Charles E. Leiserson, Ronald L. Rivest, and Clifford Stein. *Introduction to Algorithms, Second Edition*. Especially Part VI. McGraw Hill, 2001. ISBN 0-262-03292-7.



## Bibliography

links (examples...)

(working in September 2012)

- <http://www.graphtheory.com>
- <http://www.ericweisstein.com/encyclopedias/books/GraphTheory.html>
- <http://mathworld.wolfram.com/Graph.html>
- [http://en.wikipedia.org/wiki/Graph\\_theory](http://en.wikipedia.org/wiki/Graph_theory)



## Bibliography

course notes (examples...)

(working in September 2012)

- Gregorio Hernández Peñalver, Universidad Politécnica de Madrid,  
<http://www.dma.fi.upm.es/docencia/segundociclo/teorgraf>  
(in Spanish)
- Steven C. Locke, Florida Atlantic University,  
<http://www.math.fau.edu/locke/graphthe.htm>  
(alphabetically ordered)



## Your work

homework, lab hours, presentations

graph theory: study the material

graph programming libraries: analyze and use of **programming tools and libraries** that work with graphs (Leda, GraphBase, Boost, etc.)

graph visualization: analyze and use of **tools to visualize** graphs and the information they contain (OGDF, Graphviz, yED, etc.)

applications: search for applications that use **graph algorithms** or graphs as data structures (e.g., network planification, route optimization)



## Motivation

### applications

graphs can be **found**, e.g., in the following situations:

- street plans or maps
- networks (data, fluids, traffic etc.)
- transport systems
- chemical connexions in a large molecule
- neighborhood relations in a worldmap
- interference relations between antennas in a wireless communication system
- links between WWW pages
- closeness relation in arrangements



## Motivation

### summary

Hence, a graph is an **abstract concept** behind the representation of relations (edges) between entities (nodes or vertices)



## Motivation

### usage to resolve problems

- Determine the order how to dress cloths.
- Is it possible to design a journey through a city that passes through every street exactly once?
- What is the shortest distance a postman must walk to visit (pass along) each street or the necessary ones at least once?



## Motivation

### more examples

- How should we direct the street using one-direction signs such that it is still possible to drive from everywhere to everywhere?
- What are the necessary conditions to organize a group dance at a party such that the pairs consist of partners who knew each other beforehand?
- How should we place the chips on a board to minimize the interconnection length?
- Where should be place the firebrigades to shorten their maximum distances to any house?



## Notations

basic issues

$V$	set of nodes or vertices
$[V]^r$	set of subsets of $V$ of size $r$
$E \subseteq [V]^2$	set of edges
$v \in V$	vertex or node
$e = \{x, y\} \in E$	edge
$\{x, y\} \iff xy$	$xy$ is edge
$G = (V, E)$	graph
$V(G), E(G)$	vertices and edges of the graph $G$
$v \in G : \iff v \in V(G)$	$v$ is vertex of the graph $G$
$e \in G : \iff e \in E(G)$	$e$ is edge of the graph $G$
$ V  =: n$	number of vertices
$ V  =  V(G)  =  G $	equivalent notations
$ E  =: m$	number of edges
$ E  =  E(G)  = \ G\ $	equivalent notations



## Vocabulary

basic concepts

trivial	if $ G  = 0$ or $ G  = 1$ , the graph is trivial
over	if $G = (V, E)$ , $G$ is a graph over $V$
incident	a vertex $v$ is incident to an edge $e$ , if $v \in e$ an edge $e$ is incident to a vertex $v$ , if $v \in e$
adjacent	two vertices $v$ and $w$ are adjacent, if $\{v, w\} \in E$ two edges $e$ and $f$ are adjacent, if $e \cap f \neq \emptyset$
connected	an edge connects its vertices
$X$ - $Y$ -edge	if $x \in X \subseteq V$ and $y \in Y \subseteq V$ , $xy$ is $X$ - $Y$ -edge
$E(X, Y)$	set of $X$ - $Y$ -edges



## Notations

degree related

$E(v) := E(v, V \setminus \{v\})$	set of edges incident to $v$
$N(v)$	set of vertices adjacent to $v$ (neighbors)
$d(v) :=  E(v)  =  N(v) $	degree of vertex $v$
$d_G(v)$	degree of vertex $v \in G$
$\delta(G)$	minimum degree of the vertices in $G$
$\Delta(G)$	maximum degree of the vertices in $G$
$d(G) := 2 E / V $	mean degree of the vertices in $G$
$\varepsilon(G) :=  E / V  = \frac{d(G)}{2}$	mean number of edges per vertex of $G$



## Notations

substractions

$G \setminus e$	graph $(V, E \setminus \{e\})$
$G \setminus v$	graph $(V \setminus \{v\}, E \setminus E(v))$
$G \setminus E'$	graph $(V, E \setminus E')$
$G \setminus V'$	graph $(V \setminus V', E \setminus E(V'))$



## Vocabulary

### neighborhood

- neighbor node  $v$  is neighbor of  $w$ , if  $vw \in E(v)$ ,  
i.e., if  $v$  and  $w$  are adjacent
- neighbor edge  $e$  is neighbor of  $f$ , if  $e \cap f \neq \emptyset$   
i.e.,  $e$  and  $f$  are incident to the same vertex
- independent vertices/edges non adjacent,  
a set of vertices (edges) mutually independent is an independent set
- complete a graph is complete,  
if all its vertices are neighbors
- partition the set of set  $\{V_0, \dots, V_{r-1}\}$   
is a partition of  $V$ ,  
if  $V = \bigcup_j V_j$ ,  $V_i \neq \emptyset$ , and  $\forall i \neq j: V_i \cap V_j = \emptyset$

$\alpha(G)$  size of the largest independent set of vertices



## Vocabulary

### graphs

- digraph the edges are directed, i.e.,  
instead of the sets  $\{v, w\}$  we use  
pairs  $(v, w)$  or  $(w, v)$   
i.e.,  $E \subseteq V \times V$
- multigraph permits more than one edge between vertices
- pseudograph permits loops on vertices



## Representation

### data

A graph can be stored with three basic methods:

- adjacency matrix
  - square matrix, and in the simple case, binary (and symmetric if not digraph) that codes whether there exists an edge between vertices
  - space complexity  $\Omega(n^2)$
- adjacency lists
  - list or array of vertices which contain in each entry a list to its adjacent vertices
  - space complexity  $\Theta(n + m)$



## Representation

### data

- hashtables
  - list or array of vertices which contain in each entry a hashtable to its adjacent vertices
  - space complexity  $\Theta(n + m)$

What are the principal advantages and disadvantages of each method?

There are more data structures available which are useful to implement certain algorithms more efficiently (especially for planar graphs).



# Isomorphism

definition

Let  $G = (V, E)$  and  $G' = (V', E')$  be two graphs.

If there is a **bijection**  $\varphi : V \rightarrow V'$  between the vertices of the graphs such that

$$xy \in E \iff \varphi(x)\varphi(y) \in E'$$

(i.e., if  $x$  and  $y$  are neighbors in  $G$ , then  $\varphi(x)$  and  $\varphi(y)$  are neighbors as well in  $G'$ ),

then  $G$  is isomorph to  $G'$ ,  $G \simeq G'$  or as well  $G = G'$ , i.e., one can say **the graph**  $G$ .



# Invariants

basic

A function  $f$  over two graphs with  $f(G) = f(G')$  if  $G \simeq G'$  is called invariant. E.g., invariants are:

- $n$
- $m$
- Are there more invariants?



# Invariant

open problem

No one knows **an invariant** for graphs which can be computed in deterministic polynomial time which decides whether two graphs are **isomorphic**, however, it is not demonstrated that the problem is **NP-complete**.



# NP-completeness

definition

**Remind** what it means **NP-complete**:

A problems belongs to the class of **NP-complete** problems, if there exists deterministic Turing machine (sufficiently powerful computing model) that solves the problem in polynomial time (in respect to input length) and all other problems in the class are at most simpler.



# NP-completeness

properties

Hence we know for NP-complete problems:

- There exists an algorithm for solving it. (We can always use exhaustive search.)
- If someone gives us a potential solution, we can verify in polynomial deterministic time that it is really a solution.
- If we would know a polynomial algorithm for any NP-complete problem, implicitly we could solve all problems of the class with that time bound.
- Whether the two classes  $P$  and  $NP$  are equal is one of the famous open problems in computer science (and most people think they are different).



# Isomorphism

is in NP

Given a bijection between the nodes of two graphs, it is easy to prove whether the graphs are isomorphic: it is sufficient to check whether the adjacency matrices are identical, this can be done in time  $O(n^2)$ .

Hence, the isomorphism problem is in NP.



# Graphs

operations

Let  $G = (V, E)$  and  $G' = (V', E')$  be two graphs.

$G \cup G' := (V \cup V', E \cup E')$  union of the graphs  
 $G \cap G' := (V \cap V', E \cap E')$  intersection of the graphs



# Vocabulary

partial

partial graph  $G'$  is partial graph of  $G$ , if  $V' \subseteq V$  and  $E' \subseteq E$   
subgraph  $G'$  is subgraph of  $G$ , of  $G' \cap G = G'$ ,  
i.e.,  $G'$  is a partial graph of  $G$   
that contains all edges of  $G$   
whose incident vertices are in  $V'$ .  
induced for  $V' \subseteq V$  the graph  $(V', E(V', V'))$   
is the subgraph  $G'$  of  $G$  induced by  $V'$



## Vocabulary

### subgraphs

$G' \subseteq G$   $G'$  is partial graph of  $G$   
 $G[V']$  subgraph of  $G$  over  $V'$  assuming  $V' \subseteq V$   
 $G[G']$  subgraph of  $G$  over  $V(G')$  assuming  $G' \subseteq G$



## Graphs

### special graphs

$k$ -regular graphs  $d(G) = \varepsilon(G) = k$  ( $= \delta(G) = \Delta(G)$ )  
complete graphs  $K^r$   $G = (V, [V]^2), |V| = r$   
paths  $P^k$   $G = (\{v_0, \dots, v_k\}, \{v_0 v_1, v_1 v_2, \dots, v_{k-1} v_k\})$   
cycles  $C^k$   $G = (\{v_0, \dots, v_k\}, \{v_0 v_1, v_1 v_2, \dots, v_{k-1} v_0\})$

Obviously, one can describe a path or cycle by its node sequence.



## Vocabulary

### partition

$r$ -partite a graph is an  $r$ -partition, if there exists a partition of  $V$  defining  $r$  independent sets  
bipartite 2-partite



## Graphs

### paths and cycles

length number of edges of a path or cycle  
cyclic a graph that contains a cycle is cyclic  
acyclic a graph that does not contain a cycle is acyclic  
girth a minimum length cycle of the graph  
circumference a maximum length cycle of the graph

$g(G)$  length of the girth of  $G$   
( $g(G) = \infty$  if  $G$  acyclic)  
 $D(G)$  length of the circumference of  $G$   
( $D(G) = 0$  if  $G$  acyclic)





# Graphs

more special graphs

- bipartite graphs  $B$
- bipartite complete graphs  $K^{n_1, n_2}$
- **hypercubes**  $Q^k$   
properties (with exceptions for  $Q^0$  and  $Q^1$ ):
  - $n = |V| = 2^k$
  - $k$ -regular
  - bipartites (How to partition?)
  - $g(Q^k) = 4$
  - $D(Q^k) = 2^k$  (What is a maximum cycle?)
  - $\alpha(Q^k) = 2^{k-1}$  (and there are two)



# Distance

must be a metric

$d(v, w)$  distance between two vertices  
being the length of the shortest path between  $v$  and  $w$

$d_G(v, w)$  distance between  $v$  and  $w$  in  $G$

The **distance** defines a **metric**, i.e.,

- 1  $d(v, w) \geq 0$
- 2  $d(v, w) = 0 \iff v = w$
- 3  $d(v, w) = d(w, v)$
- 4  $d(u, w) \leq d(u, v) + d(v, w)$



# Vocabulary

connected

connected  $v$  and  $w$  are connected, if  $d(v, w) < \infty$ ;  
 $G$  is connected,  
if all pairs  $\{v, w\} \subset V$  are connected

unconnected  $G$  is unconnected if it is not connected

bridge edge  $e \in G$  is a bridge if  
 $G$  is connected but  $G \setminus e$  is unconnected

cut vertex vertex  $v \in G$  if  
 $G$  connected but  $G \setminus v$  is unconnected

connected components connected and maximum subgraphs



# Notations

and more invariants

$c(G)$  number of connected components of  $G$

$\kappa(G)$  minimum size of vertex subset of  $G$   
such that  $G \setminus V$  is unconnected

$\lambda(G)$  minimum size of edge subset of  $G$   
such that  $G \setminus E$  is unconnected

$k$ -connected  $G$  is  $k$ -connected, if  $\kappa(G) \geq k$

biconnected 2-connected

$k$ -edgeconnected  $G$  is  $k$ -edgeconnected, if  $\lambda(G) \geq k$

block maximum biconnected subgraph



# Forests

and its basic parts: trees

- if  $G$  does not contain cycles,  $G$  is a forest
- if  $G$  is a forest and connected,  $G$  is a tree
- the connected components of a forest are trees



# Forests

trees

## Theorem

*the following properties are equivalent:*

- $G$  is a tree
- between edge pair of vertices there exists exactly one path
- each edge is a bridge
- $G$  is acyclic and  $n = m - 1$
- $G$  is connected and  $n = m - 1$
- $G$  is acyclic and maximum in  $|E|$
- $G$  is connected and minimum in  $|E|$



# Trees

spanning trees

free tree      a tree where no vertex is marked  
rooted tree    a tree with one vertex marked as root  
spanning tree    $T$  is spanning tree of a graph  $G$ ,  
if  $T \subseteq G$  and  $V(T) = V(G)$

## Theorem

*each graph  $G$  contains a spanning forest, and if  $G$  is connected, it contains a spanning tree (with any vertex as root)*



# Vocabulary

walks

euler walk      path between two vertices  
that does not visit more than once  
an edge  
euler graph      graph with euler walk  
using all its edges  
hamilton graph   graph with path (cycle) over all its vertices



## Theorems

basic theorems

Theorem (EULER (handshaking lemma))

$$\sum_v d(v) = 2m$$

Idea of proof

count

Theorem

*each graph contains an even number of odd degree vertices*

Idea of proof

use theorem of EULER



## Theorems

more basic theorems

Theorem

*each graph  $G$  contains a path  $P$  with  $\|P\| \geq \delta(G)$ , and each graph  $G$  with  $\delta(G) \geq 2$  contains a cycle  $C$  with  $|C| > \delta(G)$*

Idea of proof

observe the neighbors of the last vertex on a longest path



## Theorems

more basic theorems

Theorem

*for  $G$  not trivial:  $\kappa(G) \leq \lambda(G) \leq \delta(G)$*

Theorem

*each graph  $G$  with  $|E| > 1$  contains a subgraph  $H$  with  $\delta(H) > \varepsilon(H) \geq \varepsilon(G)$*

Theorem

*$G$  is bipartite with  $|V_0| \neq |V_1| \implies G$  is not hamiltonian*



## Theorems

more basic theorems

Theorem

$\forall v, w \in V, vw \notin E : d(v) + d(w) \geq n \implies G$  is hamiltonian

Theorem

$G$  is hamiltoniano  $\implies \forall S \subset V : c(G \setminus S) \leq |S|$

Theorem

*to decide whether a graph  $G$  is hamiltonian is NP-complete*



## Theorems

básicas

### Theorem

$G$  is bipartite  $\iff G$  does not contain odd cycles

Idea of proof

bi-coloring of a spanning forest

Algorithm that decides whether  $G$  is bipartite?

### Theorem (EULER)

$G$  is eulerian  $\iff G$  is connected and  $\forall v \in V : d(v)$  is par

Idea of proof

analyse path of maximum length that passes through a vertex

Algorithm that computes a euler walk?



## Theorems

more basic theorems

### Theorem

$G$   $k$ -connected  $\implies |E| \geq \lceil kn/2 \rceil$



## Theorems

more basic theorems

### Theorem (WHITNEY)

$G$  is biconnected ( $|G| > 2$ )  $\implies \forall v, w \in V \exists vPw, vQw : P \cap Q = \emptyset$

i.e., there exist always two paths that do not intersect (for all possible pairs of vertices in  $G$ )

### Theorem (MADER)

each graph  $G$  with mean number of edges  $\varepsilon(G) \geq 4k$  contains a  $k$ -connected graph as partial subgraph



## Algorithms

DFS

### Algorithm

#### Depth first search

DFS generates a rooted tree  $T$  with back-edges (that do not belong to  $T$ ). DFS serves, for examples, to

- determine connected components
- detect bridges
- detect cut vertices
- detect blocks
- sort topologically



# DFS

properties

## Theorem

Let  $T$  be a tree of a connected graph  $G$  with  $v$  as root generated by DFS.

$v$  is a cut vertex  $\iff v$  has more than one son in  $T$

## Theorem

Let  $T$  be a tree of a connected graph  $G$  generated by DFS and  $v$  not its root.

$v$  is a cut vertex  $\iff$  there does not exist a back-edges from the subtree below  $v$  towards an predecessor of  $v$  in  $T$

DFS has complexity  $\Theta(n + m)$   
(assuming adjacency lists, and with the other storing possibilities?)



# Algorithms

BFS

## Algorithm

breadth first search

BFS generates a rooted tree  $T$  with back-edges and cross-edges.  
BFS serves, for example, to:

- determine the shortest paths between vertices
- sort topological

BFS has complexity  $\Theta(n + m)$   
(assuming adjacency lists, and with the other storing possibilities?)



# Digraphs

components

Let  $G$  be a graph and let  $\bar{G}$  be a directed graph (digraph).

strongly connected  $\bar{G}$  is strongly connected,  
if there exists a walk  
between each pair of vertices  $u, v \in G$

orientable  $G$  is orientable,  
if there exists a graph  $\bar{G} \simeq G$   
that is strongly connected

strongly connected  
component maximum set of vertices of  $\bar{G}$   
whose induced subgraph  
is strongly connected

$d_i(v)$  indegree  
 $d_o(v)$  outdegree



# Digraphs

degrees



# Graphs

orientable

## Theorem (ROBBINS)

$G$  is orientable  $\iff G$  is connected and does not contain bridges.

Algorithm that computes an orientation?

(What is an *optimal* orientation?)

It depends: minimizing the average distance, minimizing the maximum distance, minimizing the differences between distances in  $G$  (in some sense) and the corresponding distances in  $\overline{G}$  etc.)



# Digraphs

DFS/BFS

DFS and BFS can be used over digraphs as well.

DFS now generates forward-edges and cross-edges as well.

DFS can be used to compute a topological sorting of a acyclic digraph, i.e., in the ordering a vertex  $v$  appears before a vertex  $w$ , if there exists a path from  $v$  to  $w$ .

DFS can be used to determine the strongly connected components.

Algorithm that computes the strongly connected components?



# Digraphs

walks

one can follow euler walks as well::

## Theorem

$\overline{G}$  is eulerian  $\iff \overline{G}$  is connected and  $\forall v \in V : d_i(v) = d_o(v)$

Algorithm that calculates a euler walk in a digraph?



# Graphs

weights

$(G, W)$	weighted graph with $W : E(G) \rightarrow \mathbb{R}^+$
$w(G)$	weight of the graph $G$ , $w(G) = \sum_{e \in G} w(e)$
$w(P)$	weight of a path $P$ , $w(P) = \sum_{e \in P} w(e)$
$d(v, w)$	distance between two vertices, $d(v, w) = \min_P \{w(vPw)\}$

$dt(v) = \sum_w d(v, w)$  total distance of a vertex

with  $w(e) = 1 \forall e \in E$  we reproduce the distance



## (Di)Graphs

radio

$e(v)$     eccentricity,  $e(v) = \max_{w \in G} \{d(v, w)\}$   
 $\text{rad}(G)$     radius of a graph  $G$ ,  $\text{rad}(G) = \min_{v \in G} \{e(v)\}$   
 $\text{diam}(G)$     diameter of a graph  $G$ ,  $\text{diam}(G) = \max_{v \in G} \{e(v)\}$



## Vocabulary

center

center    the center of a graph  $G$  is  
the subgraph of  $G$  induced  
by the vertices with minimum eccentricity  
median    the median of a graph  $G$  is  
the subgraph of  $G$  induced  
by the vertices with minimum total distance



## Theorems

center

### Theorem

$G$  connected  $\implies \text{rad}(G) \leq \text{diam}(G) \leq 2 \cdot \text{rad}(G)$

### Theorem

*Every graph is the center of a graph.*

### Theorem

*The center of a tree consists of one or two vertices.*

Algorithm to calculate the center of a tree?



## Trees

spanning trees

given a weighted graph  $G$  (or a digraph  $\bar{G}$ )

interesting questions are:

- What is the minimum spanning forest for  $G$ ?
- given a vertex  $s \in \bar{G}$ , What are the minimum paths to all other vertices?
- What are the minimum paths among all pairs of vertices?



## Paths

shortest paths

- To each vertex  $u \in G$  there exists a shortest path from  $s$ .
- The paths to all vertices along the path are shortest paths as well.
- If  $G$  is connected, we can construct a tree with root  $s \in G$  which determines all shortest paths to the other vertices in the graph.
- Algorithm that calculates a minimum forest of a graph  $G$ ?



## Paths

algorithms

Algorithm

KRUSKAL, join greedily trees with minimum edges, complexity  $O(m \log n)$

Algorithm

PRIM, construct iteratively a tree with minimum edges, complexity  $O(m + n \log n)$



## Paths

algorithms

Algorithm that computes a minimum tree starting at a vertex  $s$  in  $\bar{G}$ ?

Algorithm

DIJKSTRA, complexity  $O(n^2)$

The algorithm works equally with graphs and with digraphs.



## Paths

negative weights

Can we permit negative weights?

There might exist negative cycles.

Algorithm that calculates a minimum tree starting at a vertex  $s$  in  $G$  if  $G$  contains negative weights?

Algorithm

BELLMANN–FORD, complexity  $O(mn)$

Algorithm that calculates the minimum paths between all pairs of vertices including the case of negative weights?

Algorithm

FLOYD–WARSHALL, complexity  $O(n^3)$

Some algorithms detect negative cycles in which case they simply stop.





with certain information for the graphs or the weights, there are improved algorithms:

- if the weights are confined by a constant  $W$
- if the number of edges  $m$  is confined by  $O(n \log n)$

